TRAINING NOTICE

THE KENYA SCHOOL OF LAW

COURSE: RISK MANAGEMENT IN THE FINANCIAL AND BANKING SECTOR

(3 LSK CPD POINTS)

DATE: **17th – 21st August, 2026**

VENUE: **Naivasha, Kenya**

ABOUT THE COURSE

The financial services sector operates within an increasingly complex and evolving legal and regulatory environment, with traditional banks and financial institutions now operating alongside fintechs, digital lenders, payment service providers, mobile money operators, digital banks, and other technology-enabled financial businesses. Rapid innovation, increased regulatory scrutiny, and changing customer expectations have created new and emerging legal risks, while poor legal and regulatory decisions can expose institutions to significant financial losses, regulatory sanctions, litigation, operational disruption, and reputational damage.

This executive programme equips banking, financial services, and fintech professionals with practical strategies for identifying, assessing, and managing legal and regulatory risks across the credit and financial services lifecycle—from customer onboarding, credit assessment, loan origination, documentation, and disbursement to monitoring, restructuring, recovery, litigation, regulatory compliance, and stakeholder engagement. The programme also addresses emerging legal and regulatory issues affecting fintech and digital financial services, including digital lending, payment services, data protection and privacy, cybersecurity, consumer protection, AML/CTF, licensing and regulatory requirements, electronic contracting, and third-party risk.

Through practical case studies, real-world scenarios, and interactive discussions, participants will develop the knowledge and practical tools required to proactively manage legal risk, support responsible innovation and sustainable business growth, and ensure that traditional and technology-enabled financial services operate within sound legal, regulatory, governance, and risk management frameworks.

THE BENEFITS

Unlike conventional legal training, which often focuses primarily on statutes, legal principles, and courtroom procedures, this programme takes a broader and more practical approach by positioning law as a strategic tool for financial risk management, informed decision-making, and sustainable business performance. Participants are equipped with practical knowledge and skills to identify, anticipate, and manage legal risks throughout the lending and recovery lifecycle, enabling them to make sound credit and recovery decisions that can withstand legal and regulatory scrutiny.

The programme further equips participants to strengthen loan documentation and contractual frameworks, improve recovery and enforcement strategies, minimise avoidable litigation and operational losses, enhance regulatory compliance, and manage legal risks proactively. Ultimately, it enables financial institutions and fintechs to use legal expertise not merely as a reactive function, but as a strategic enabler of responsible lending, effective recovery, business growth, and long-term institutional resilience.

INTENDED PARTICIPANTS

The programme is designed for professionals seeking to strengthen their ability to make legally informed and commercially sound business decisions that protect their institutions from financial and operational losses, enhance regulatory compliance, and improve the management of distressed and non-performing credit. It is particularly relevant to professionals working in credit management, lending, risk management, legal and compliance, loan recovery, collections, banking operations, fintech, and financial services who require a practical understanding of the legal and regulatory dimensions of credit risk and financial risk management.

The programme will also benefit managers and decision-makers responsible for credit origination, loan documentation, portfolio monitoring, restructuring, debt recovery, enforcement, litigation management, and regulatory risk. It provides participants with practical insights to identify legal risks early, strengthen internal processes and contractual frameworks, make better-informed lending and recovery decisions, and contribute effectively to the overall resilience and sustainable performance of their institutions.

INTENDED OUTCOMES

By the end of the course, it is expected that the participant will be able to :—

- Identify legal risks before they become financial losses.
- Structure enforceable credit facilities.
- Improve loan documentation quality.

- Strengthen recovery rates on non-performing loans.
- Evaluate restructuring and litigation options using financial analysis.
- Manage collateral realization effectively.
- Enhance regulatory compliance.
- Improve collaboration between business, risk, recovery, and legal functions.
- Reduce operational and reputational risk.
- Make legally informed business decisions without requiring specialist legal expertise.

CERTIFICATES: A certificate of attendance and participation will be issued to each participant who attends the entire course.

YOUR INVESTMENT: Citizens: Kshs 95,000 Non Citizens: $ 1,500 inclusive of VAT and registration fee (*covers tuition fees, course materials, stationery, lunch and refreshments*).

CPD POINTS: Members of the Law Society of Kenya who attend and complete the training will be entitled, on payment of extra Kshs 1,000.00 to be awarded 3 CPD points. Payment of this sum shall be effected during the training, as will be directed by the organizers of the training.

APPLICATION PROCESS

Application should be made **ONLINE** through the platform. Please log at: https://services.ksl.ac.ke/web/ login sign up and register for the course. Fees should be deposited in the School's account: **Account No. 202 2029 110 Absa Bank PLC, Absa Plaza Branch, Nairobi, Kenya** and the bank slip or evidence of electronic funds transfer presented to the Finance Office, Karen before the date of commencement of the training.

Contacts:

For any query, contact the Course Coordinator, Mr. C. Lupao on clupao@ksl.ac.ke , or Jacqueline jacqueline@ksl.ac.ke and Christine cmwanza@ksl.ac.ke.

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Daniel Odhiambo

FOR DIRECTOR /CHIEF EXECUTIVE OFFICER

ISO 9001:2015 Certified Organization